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Attorney's Docket No.: 04324.P040

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Jeffrey A. Smith
Alan Page
S. Prasad Maruvada
Scott A. Northmore

Examiner: Kieu D. Vu

Art Unit: 2173

Application No: 09/240,844

Filed: February 1, 1999

For: USER INTERFACE METHOD AND
SYSTEM FOR APPLICATION
PROGRAMS IMPLEMENTED WITH
COMPONENT ARCHITECTURES

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Technology Center 2100

Assistant Commissioner for Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

In response to the Office Action dated March 28, 2002 applicant respectfully requests that the above-identified application be amended as follows:

IN THE CLAIMS:

Please substitute the following claims for the pending claims with the same number:

9. (Amended) A system for providing a graphical user interface for a component based application program, comprising:
a plurality of user interface components;



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EXPRESS MAIL CERTIFICATE OF MAILING

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Date of Deposit: JULY 26, 2002

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

CONNIE THAYER
(Typed or printed name of person mailing paper or fee)

Connie Thayer
(Signature of person mailing paper or fee)

7-26-02
(Date signed)

Serial/Patent No.: 09/240,844 Filing/Issue Date: February 1, 1999
Client: MGI Software Corporation
Title: USER INTERFACE METHOD AND SYSTEM FOR APPLICATION PROGRAMS
IMPLEMENTED WITH COMPONENT ARCHITECTURES
BSTZ File No.: 04324.P022 Atty/Secty Initials: JCS/clr
Date Mailed: 7/26/02 Docket Due Date: 6/28/02

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- | | | |
|---|--|--|
| <input type="checkbox"/> Amendment/Response (____ pgs.) | <input checked="" type="checkbox"/> Express Mail No.: <u>EL821776953US</u> | <input checked="" type="checkbox"/> Check No. <u>51570</u> |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate) | <input checked="" type="checkbox"/> <u>one</u> Month(s) Extension of Time | Amt: <u>\$848.00</u> |
| <input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract) | <input type="checkbox"/> Information Disclosure Statement & PTO-1449 (____ pgs.) | <input checked="" type="checkbox"/> Check No. <u>51571</u> |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.) | <input type="checkbox"/> Issue Fee Transmittal | Amt: <u>\$110.00</u> |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.) | <input type="checkbox"/> Notice of Appeal | |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.) | <input checked="" type="checkbox"/> Petition for Extension of Time <u>1pg +copy</u> | |
| <input checked="" type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (<u>2</u> pgs.) | <input type="checkbox"/> Petition for _____ | |
| <input type="checkbox"/> Application - Design (____ pgs.) | <input checked="" type="checkbox"/> Postcard | |
| <input type="checkbox"/> Application - PCT (____ pgs.) | <input type="checkbox"/> Power of Attorney (____ pgs.) | |
| <input type="checkbox"/> Application - Provisional (____ pgs.) | <input checked="" type="checkbox"/> Preliminary Amendment (<u>7</u> pgs.) | |
| <input type="checkbox"/> Assignment and Cover Sheet | <input type="checkbox"/> Reply Brief (____ pgs.) | |
| <input checked="" type="checkbox"/> Certificate of Mailing | <input type="checkbox"/> Response to Notice of Missing Parts | |
| <input type="checkbox"/> Declaration & POA (____ pgs.) | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business | |
| <input type="checkbox"/> Disclosure Docs & Orig & Copy of Inventor's Signed Letter (____ pgs.) | <input type="checkbox"/> Transmittal Letter, in duplicate | |
| <input type="checkbox"/> Drawings: ____ # of sheets includes ____ figures | <input type="checkbox"/> Fee Transmittal, in duplicate | |

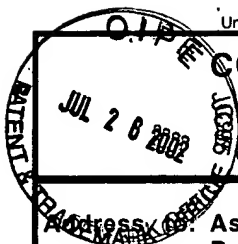
☒ Other: Copy of postcard with Express Mail certificate of mailing (1pg)

07-29-02

PTO/SB/29 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

CPA/2400
#11
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CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.
(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

CHECK BOX, if applicable:
[] DUPLICATE

Address to: Assistant Commissioner for Patents
Box CPA
Washington, D. C. 20231

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Attorney Docket No. of Prior Application 04324.P040

First Named Inventor Jeffrey A. Smith, et al.

Examiner Name Kieu D. Vu

Group Art Unit 2173

Express Mail Label No. EL 821 776 953 US

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Technology Center 2100

This is a request for a [X] continuation or [] divisional under 37 CFR 1.53(d)

(continuation prosecution application (CPA)) of prior application No: 09/240,844

filed on February 1, 1999, entitled USER INTERFACE METHOD AND SYSTEM FOR APPLICATION

PROGRAMS IMPLEMENTED WITH COMPONENT ARCHITECTURES

If the Patent and Trademark Office determines that this request under 37 CFR 1.53(d) is improper, the Office is requested to treat this CPA as a request for continued examination of the above identified application under 37 CFR 1.114.

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application practice," Final rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. office (Apr. 11, 2000).

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

1. ☐ Enter the unentered amendment previously filed on _____ under 37 CFR 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
 - ☐ a. DELETE the following inventor(s) named in the prior nonprovisional application: _____
 - ☐ b. The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. ☐ Information Disclosure Statement (IDS) is enclosed:

- a. ☐ PTO - 1449
 - b. ☐ Copies of IDS Citations

07/31/2002 AMONDAF1 00000056 09240844

01 FC:131
02 FC:103

740.00 DP
108.00 DP

CLAIMS

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
Total Claims (37 CFR 1.16(c) or (j))	26 - 20* =	6	X \$ 18.00 =	\$ 108.00
Independent Claims (37 CFR 1.16(b) or (j))	2 - 3** =	0	X \$ 84.00 =	\$ 0
Multiple Dependent Claims (if applicable) (37 CFR 1.16(d))			+ \$ 0 =	\$ 0
			BASIC FEE (37 CFR 1.16(a))	\$ 740.00
Total of above Calculations				= \$ 848.00
Reduction by 50% for filing by small entity (Note 37 CFR 1.27).				\$
TOTAL =				\$ 848.00

* Reissue claims in excess of 20 and over original patent.

** Reissue independent claims over original patent.

6. ☐ Small entity status: Applicant claims small entity status. See 37 CFR 1.27.
7. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 02-2666.
- a. ☐ Fees required under 37 CFR 1.16.
- b. ☐ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.
8. ☒ A check in the amount of \$ 848.00 is enclosed.
9. ☐ Payment by credit card. Form PTO-2038 is attached.
10. ☐ Applicant requests suspension of action under 37 CFR 1.103(b) for a period of _____ months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) is enclosed.
11. ☐ New Attorney Docket Number, if desired _____
(Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.)
12. a. ☐ Receipt for Facsimile Transmitted CPA (PTO/SB/29A)
- b. ☒ Return Receipt Postcard (Should be specially itemized, See MPEP 503)
13. ☐ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. (Reminder: First investigate foreign filing question).
14. ☒ Other: Petition for Extension of Time (1 page) and check in the amount of \$110.00 for extension fee

NOTE: The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

14. NEW CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label _____
OR _____ (Insert Customer No. or Attach Bar Code Label here)

New Correspondence Address Below

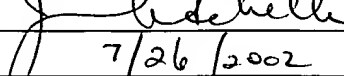
NAME _____

ADDRESS _____

CITY _____ STATE _____ ZIP CODE _____

COUNTRY _____ TELEPHONE _____ FAX _____

15. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME James C. Scheller, Jr. SIGNATURE 

REGISTRATION NO. 31,195 DATE 7/26/2002